URBAN GOVERNANCE SURVEY

The United Nations are currently debating what the future priorities for the world development agenda for post-2015 will be. As the world becomes increasingly urbanised (with over 60% of the global population living in urban areas in the near future) urban governance is one of the central issues in these discussions.

In order to contribute to this process and in preparation for Habitat III, LSE Cities, UN Habitat and UCLG have developed this survey which will allow us to map different governance arrangements in diverse cities around the world in an attempt to better understand how contemporary cities are organised and managed, and how they prepare and plan for the future.

Initial results will be included in the LSE Cities research for this year's 2014 Urban Age conference in Delhi, India which will bring together a wide range of policy makers, academics and city experts to discuss the role of urban governance in shaping the future development of cities. In addition, the survey results will inform the 4th Global Report on Decentralisation and Local Democracy (GOLD IV), a publication that examines the situation of local governments around the world.

The completion of this study requires the participation of local governments in different countries to incorporate diverse realities and learn from their experiences. It also seeks to identify risk factors and analytical tools used in city management.

If you have any questions or comments, please do not hesitate to contact us: lse.cities@lse.ac.uk or +44 (0)20 71075521.

1. What city or local government are you representing/providing information for?

Country

Name of city/local
government

2. Does your city have a Mayor?

○ Yes

○ No

3. Is he/she...

○ directly elected (by popular vote)

○ indirectly elected (by city assembly)

○ appointed (by other tiers of government)

○ Other

Please specify

4. Who holds the executive and legislative power in your city/local government?

	Executive	Legislative
Mayor	☐	☐
Council	☐	☐
Cabinet	☐	☐
City manager	☐	☐
State appointed official	☐	☐
Central government appointed official	☐	☐
I don't know.	☐	☐
Other	☐	☐

Please specify

5. What is the latest official population figure for your city/local government? (Please provide both the total figure and the year)

Population figure

Year

6. What was the voter turnout (in per cent of eligible voters) and year for the last three elections for your local government (beginning with the most recent election)?

1. Year	
Voter Turnout	
2. Year	
Voter Turnout	
3. Year	
Voter Turnout	

7. What is the number of people working for the local government?

8. What is the estimated percentage of the informal economy in your city/local government?

Please indicate as a percentage of total jobs or as a percentage of total GDP.

	0-5	5-10	10-20	20-30	30-40	40-50	50-60	60-70	70-80	80-90	> 90	I don't know.
As percentage of total jobs	○	○	○	○	○	○	○	○	○	○	○	○
As percentage of total GDP	○	○	○	○	○	○	○	○	○	○	○	○

9. What was the local government expenditure for the last financial year?

Currency	
Total	

10. What was the local government revenue for the last financial year?

Currency	
Total	

11. Does your city/local government have its own taxes?

○ Yes

○ No

12. Does your city/local govenment have the power to introduce new taxes under existing legislation?

○ Yes

○ No

13. What is the percentage of total local revenue in your budget?

	0	0-10	10-20	20-30	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I don't know.
Percentage	○	○	○	○	○	○	○	○	○	○	○	○

14. And within the local revenue, what is the estimated percentage of...

	0	0-10	10-20	20-30	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I don't know.
Taxes (property tax, income tax)	○	○	○	○	○	○	○	○	○	○	○	○
User fees (tariffs)	○	○	○	○	○	○	○	○	○	○	○	○
Sale of assets (including land)	○	○	○	○	○	○	○	○	○	○	○	○
Other	○	○	○	○	○	○	○	○	○	○	○	○

Please specify

[   ]

15. What is the percentage of the local budget that comes from other tiers of government?

	0	0-10	10-20	20-30	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I don't know.
State	○	○	○	○	○	○	○	○	○	○	○	○
National	○	○	○	○	○	○	○	○	○	○	○	○
Supranational	○	○	○	○	○	○	○	○	○	○	○	○
Other	○	○	○	○	○	○	○	○	○	○	○	○

Please specify

[   ]

16. What is the percentage of publicly owned land out of the total land area of the municipality/local government area?

	0	0-10	10-20	30-40	40-50	50-60	60-70	70-80	80-90	90-100	I dont know.
Publicly owned land	○	○	○	○	○	○	○	○	○	○	○

Exact percentage, if known.

[   ]

17. What is the percentage of land owned by different tiers of government out of the total area across the municipality/local government area?

	0	0-10	10-20	20-30	30-40	40-50	60-70	70-80	80-90	90-100	I don't know.
Municipality	○	○	○	○	○	○	○	○	○	○	○
State	○	○	○	○	○	○	○	○	○	○	○
National	○	○	○	○	○	○	○	○	○	○	○
Other	○	○	○	○	○	○	○	○	○	○	○

Please specify

[   ]

18. Does the city have the legal ability to borrow money?

○ Yes

○ No

○ I don't know.

19. Is there a limit to borrowing?

○ There is no limit.

○ I don't know.

○ There is a limit.

Please state the limit.

[   ]

20. Is your city/local government part of a larger metropolitan area with a formal institutional set-up?

○ Yes

○ No

21. What is the official name of the metropolitan area?

[   ]

22. Are there any levels of government which subdivide your city e.g. at borough, district, ward level?

○ Yes

○ No

23. Please list them in the following fields, ordered by size from largest to smallest.

1 [   ]

2 [   ]

3 [   ]

4 [   ]

5 [   ]

24. Please rate the level of influence that different tiers of government have over decision making in the following policy sectors for your city/local government
(0 = no influence; 1 = limited influence; 2= moderate influence; 3= significant influence)

	Below City Level (e.g. Borough/Ward/District)	City	Metropolitan Area	State/Region	National/Central/Federal Government	Other
Urban transport	▼	▼	▼	▼	▼	▼
Spatial planning	▼	▼	▼	▼	▼	▼
Economic development	▼	▼	▼	▼	▼	▼
Social services	▼	▼	▼	▼	▼	▼
Environment	▼	▼	▼	▼	▼	▼
Utilities	▼	▼	▼	▼	▼	▼
Health	▼	▼	▼	▼	▼	▼
Education	▼	▼	▼	▼	▼	▼
Housing	▼	▼	▼	▼	▼	▼
Policing and security	▼	▼	▼	▼	▼	▼
Culture	▼	▼	▼	▼	▼	▼

Additional comments

[ ]

25. In what capacity can citizens influence local policies?

☐ Voting/Elections

☐ Public consultation/Public hearings

☐ Formal petitions

☐ Online engagement (petitions, complaint forms, etc.)

☐ Participatory Budgeting

☐ None

☐ Other

Please specify

[ ]

26. Do you have a quota to ensure representation for underrepresented groups?

○ Yes

○ No

○ I don't know.

27. What underrepresented groups do you have a quota for?

[ ]

28. Are there any formal mechanisms that exist to report cases of corruption?

○ Yes

○ No

29. Can you give a brief description of any such anti-corruption mechanisms?

30. Does the city/local government have a strategic plan?

○ Yes

○ No

31. What is the name of the plan, in what year was the latest edition published and what time period does it cover?

Name

Year

Time period (0-5 years; 5-10 years; 10-20 years; 20-30 years; >30 years)

32. Does your city/local government use any planning instruments or processes to help you plan for the future?

☐ Population models

☐ Spatial growth models

☐ Transport models

☐ Climate models

☐ Other modeling

☐ Backcasting (e.g. for strategic targets such as carbon reduction, poverty reduction, etc.)

☐ Forecasting (e.g. economic, employment or population growth)

☐ Scenarios (e.g. urban development)

☐ None of the above

☐ Other

Please specify

33. Does your city/local government have an integrated transport authority covering multiple modes of transportation and is it accountable to the city/local government?*

○ Yes, but not accountable to city government

○ Yes, and accountable to city government

○ No

○ I don't know.

Please specify

[   ]

*Authority overlooking multiple transport modes within the metropolitan area.

34. Which modes does the integrated transport authority manage?

○ Cycling

○ Paratransit e.g. cyle taxi services, mini-bus services

○ Taxi

○ Bus

○ Tram (light rail)

○ Underground/metro

○ Suburban rail

○ Local roads

○ Highways

○ Main roads

○ Traffic management

35. What are the three biggest urban governance challenges your city/local government faces?

1 [   ]

2 [   ]

3 [   ]

36. Have there been any examples of recent disruptions that have affected the way your city/local government operates?

- ☐ Tax reforms
- ☐ Structural reforms
- ☐ Institutional reforms
- ☐ Change in national policies affecting local policies
- ☐ Economic recession
- ☐ Natural disasters
- ☐ Political conflict
- ☐ Other

Other (please specify)

[   ]

37. Which of the following governance constraints is your city/local government facing?

Please tick all that apply

- ☐ Predictability of resources
- ☐ Election cycles
- ☐ Lack of public support
- ☐ Fragmented local governance
- ☐ Lack of public funding
- ☐ Lack of private investment
- ☐ Lack of skills in the local government
- ☐ Lack of skills in the local workforce
- ☐ Lack of private sector support
- ☐ Lack of state government support
- ☐ Lack of national government support
- ☐ Other

Please specify

[   ]

38. Would you be willing to reply to one last optional question on managing urban transport in your city?

- ○ Yes
- ○ No

39. Please rate the level of influence that different tiers of government have over decision making in the transport sector in your city.

(0=no influence; 1=limited influence; 2=moderate influence; 3=significant influence

	Below City Level (e.g. Borough/Ward/District)	City	Metropolitan Area	State/Region	National/Central/Federal Government	Other
Urban Design/Walking	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Cycling	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Paratransit e.g. cyle taxi services, mini-bus services	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Taxi	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Bus - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Bus - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Tram (light rail) - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Tram (light rail) - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Underground/metro - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Underground/metro - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Suburban rail - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Suburban rail - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Local roads - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Local roads - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Highways - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Highways - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Main roads - infrastructure	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Main roads - operations	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]
Traffic management	[▼]	[▼]	[▼]	[▼]	[▼]	[▼]

Additional comments:

[ ]

40. Is there anything else you would like to tell us about your city's governance that the survey did not cover? Any other comments?

[ ]

41. Please provide your contact details below in case we have any questions about any of your responses and so that we can keep you informed about the outcome of this research project.*

Name

Organisation

Position

E-mail

*All contact information will be kept confidential.

Thank you for your time!